

UTTAR PRADESH
MEMORANDUM OF UNDERSTANDING

1. **THIS MEMORANDUM OF UNDERSTANDING (MoU)** is made on the 3.11.2015 between the President of India, acting through Shri Subrata Dhar, Deputy Director General, **Ministry of Statistics & Programme Implementation**, Government of India, (hereinafter called 'THE FIRST PARTY' which expression shall unless repugnant to the context or meaning thereof, includes its successor in interest and permitted assigns) of the one part and the Governor of the State of **Uttar Pradesh** acting through **Shri R.P.Singh Principal Secretary (Planning)**, **Government of Uttar Pradesh** (hereinafter called 'THE SECOND PARTY' which expression shall unless repugnant to the context or meaning thereof, includes its successor in interest and permitted assigns) of the other part.
2. WHEREAS, it has been the concern of the FIRST PARTY that the **strengthening of Statistical System of the States and Union Territories (UTs) of the Union of India**, and WHEREAS THE FIRST PARTY is committed to ensure to improve the coverage, quality, timeliness and credibility of **20 key Statistical Indicators**, and in pursuance of the aforementioned commitments, the FIRST PARTY has launched a Centrally Sponsored Scheme called '**India Statistical Strengthening Project**' (ISSP), now renamed as **Support for Statistical Strengthening (SSS)**.
3. The SSS would be primarily focusing its interventions in respect of the twelve components/items, which are given in **Annexure-I** of this document.
4. Having signed the **Letter of Participation (hereinafter called as LOP)** for the India Statistical Strengthening Project, now renamed as **Support for Statistical Strengthening** on 28th May, 2008 and the SECOND PARTY now and hereby enters the second stage of its participation in the SSS, namely, the implementation of the State Programme by preparing and signing this MoU between the FIRST PARTY and the SECOND PARTY.
5. This MoU is an operational document laying out an agreed programme for (a) the implementation of the State Programme which reflects statistical priorities of both the FIRST and the SECOND PARTY, to the extent it concerns the SECOND PARTY and (b) the resources that will be made available by FIRST PARTY to the SECOND PARTY for the implementation of the State Programme. In return for both financial and technical support received from FIRST PARTY, SECOND PARTY agrees to improve the coverage, quality, timeliness and credibility of its official statistics.
6. The FIRST PARTY and the SECOND PARTY will jointly share the expenditure for components as laid down in the MOU. The respective responsibilities concerning financial and technical support for the Uttar Pradesh State Programme are specified as follows:

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(a) FIRST PARTY on its part, to provide the necessary available/feasible technical assistance and financial assistance, component-wise as delineated under, for the non-recurring expenditure:

COST OF THE PROJECT (Rs in Crore)

S. No.	Items	GOI	Govt. of UP	Total
1	Information Technology (IT)	4.23	0.00	4.23
2	Physical Infrastructure (PI) (#)	4.86	1.6193	6.4793
3	Other associated costs viz. Annual maintenance, Hardware upgrades, web-hosting etc. @ 30% of IT cost	0.71	0.00	0.71
4	Preparation of State Strategic Statistical Plan(SSSP) and signing of MOU by States with Govt. of India (##)	0.10	0.00	0.10
5	Implementation of recommendations of Technical Groups/Bodies for filling up existing and expected/emerging data gaps, including State/UT specific additionalities.	3.73	0.00	3.73
6	HRD issues, with a focus on Training for Capacity Development and Skills Enhancement/ upgradation, including support to Regional Training Centres.	7.50	0.00	7.50
7	Introduction of Innovative Techniques and Methodologies for improving the efficacy of statistical processes and operations	0.68	0.00	0.68
8	Holding of regular/periodic (say once every year) User-Producer dialogues, stake-holders' consultations and Conduct of periodic (say annual) surveys on user-satisfaction.	1.61	0.00	1.61
9	Dissemination of Annual Reports on the performance of State Statistical Systems and improving the cost effectiveness and ease of data access.	0.75	0.00	0.75
10	Data quality and efficiency improvement measures.	12.90	0.00	12.90
11	Advocacy Issues viz. Publicity and IEC (Information, Education and Communication) to improve usage of Statistical Products & services	2.49	0.00	2.49
12	Expenditure on other associated items/activities which are not foreseeable at the planning Stage Approx. 5% of Total Cost.	4.30	0.00	4.30
13	Total Cost (*)	43.76	1.6193	45.3793

(#) An additional amount of Rs.1.6193 Crore will be provided by the State as State Share .

(##) Additional amount of Rs. 0.1crore has already been disbursed as Seed Money for preparation of SSSP to the State of Uttar Pradesh.

(*) Excludes seed money of Rs. 0.10 crore

(b)The SECOND PARTY would need to provide, whenever and wherever required, the necessary land and/or buildings, manpower, and also meet all associated recurring expenditure from their own resources.

7. The FIRST PARTY will provide funds for the implementation of SSS to the SECOND PARTY in accordance with the cost sharing details laid down in para-6 of this MoU. The SECOND PARTY will provide the requisite mandatory State share for civil construction, as mentioned in para 6 of the MoU.

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8. This MoU including its annexes commits SECOND PARTY to a medium-term strategic framework detailing specific agreed outputs and outcomes and the actions for achieving them over project period, in accordance with the State Programme for strengthening the State Statistical System in Uttar Pradesh.
9. This MoU commits SECOND PARTY to provide the recurrent resources needed to implement the State Programme.
10. The SECOND PARTY has agreed to subscribe and conform to the National Vision and Strategic Policy framework and the broad guidelines issued for the purpose by the FIRST PARTY to make this project successful and to deliver the desired outputs. SECOND PARTY would need to collect, compile and disseminate the data/statistics as prescribed in the MoU in accordance with the norms and standards as may be issued from time to time by the Government of India.
11. The preparation of this MoU has been based on prior consultation between FIRST PARTY and SECOND PARTY. FIRST PARTY and SECOND PARTY consider that the State Programme and this MoU provide a realistic process of reforms, operational improvements, and capacity building which will enable SECOND PARTY, over time, to be able to meet its commitments in respect of providing the prescribed data/statistics for the compilation of national statistics according to the set standards, and also to meet SECOND PARTY's own additional needs for data/ Official Statistics.

IMPLEMENTATION PLAN

12. SECOND PARTY agrees to carry out the reforms, operational improvements and capacity building program as set out in this MoU which will improve the coverage, quality, timeliness, and credibility of statistics compiled and disseminated by SECOND PARTY.
13. In accordance with the State Programme and the consultations had between FIRST PARTY and SECOND PARTY for the preparation of this MoU, SECOND PARTY agrees that it will carry out the activities /processes/actions to achieve required outputs and outcomes in **Annexure-I** of this MoU. The detailed plan of action for completing the activities/process/actions as listed out at Annexure-I are provided at **Annexure-III** as agreed by both parties. Expected Statistical Outcomes/Products of the State programme are listed at **Annexure-IV** of this MoU.
14. The component wise allocations, (also detailing the share of the FIRST PARTY and SECOND PARTY) is given in **Annexure-II** of this MoU.

RESOURCE REQUIREMENTS AND DISBURSEMENT

15. The first installment of funds in the form of Grant-in-Aid would be released after signing of the MoU. The next installment of funds would be released as per the following conditions:- (a) Utilization Certificate of previous installment (b) Commensurate physical achievement to the financial expenditure as listed out in Annexure-III (c) Release of requisite State Share. The progress will be reviewed by the FIRST PARTY before release of the next Installment to the SECOND PARTY.

OTHER CONDITIONS FOR RELEASE OF FUNDS

16. Not more than two installments will be released in a financial year.
17. FIRST PARTY will make financial transfers to SECOND PARTY through budgetary transfer mode in the dedicated budget head created by the SECOND PARTY for the purpose.

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REPORTING PROCESS AND TIME TABLE

18. The SECOND PARTY will send regular progress reports (quarterly, and also whenever required in between, for reviews etc.).

INDICATORS OF PROGRESS

19. The reporting specified in para-18 above, will comprise reports on achievement as against the envisaged outputs as specified in **Annexure-I**, **Annexure III** and the stages of achievements against envisaged outcomes specified in **Annexure-IV** of this MoU. The SECOND PARTY will monitor all the activities detailed in the MoU periodically on a quarterly basis.

PERIODIC REVIEW

20. Reports sent by the SECOND PARTY to the FIRST PARTY will be subject to scrutiny by FIRST PARTY, including Joint Review Missions at the field level.

PERIOD OF VALIDITY OF THE MOU


21. This MoU will be valid from the date of its signing by both the parties concerned till the completion of the project i.e. March, 2017.

COMPLETION OF THE PROJECT

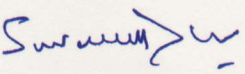
22. All the activities would be completed by the SECOND PARTY by March 2017 and a detailed Completion Report of all activities listed out in Annexure-I and Annexure-III and the financial utilization as per Annexure-II, with the approval of the State High Level Steering Committee, may be forwarded to the First Party forthwith for confirmation/ acceptance by the High Level Steering Committee on Support for Statistical Strengthening (SSS).
23. Any unutilized funds released to the SECOND PARTY will be returned to the FIRST PARTY within a period of 90 days.
24. Through this MOU, both parties affirm their commitment to the implementation of SSS and agree to implement and carry out all the activities under State Programme.

Signed on this November, 3, 2015.

For and on behalf of the Governor
State Government of Uttar Pradesh

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Principal Secretary,
Planning,
Government of Uttar Pradesh

For and on behalf of the
President of India


(Subrata Dhar)
Deputy Director General
Ministry of Statistics and Programme
Implementation
Government of India

STATE PROGRAMME

Performance Activities and Processes

Sl. No	Themes/ Items	Sub Components/ Activities	Targets
1	2	3	4
1	Information Technology (IT)	(a) Laptops /desktops and its accessories for DES HQ & its field offices	180
		(b) Broadband connection for Divisional offices of DES	18
		(c) LCD projectors for divisional offices	18
		(d) Development of State Statistical Portal (a portal from where one get state level data on 20 core statistics identified by NSC. and its maintenance for 5 years.	1
		(e) Networking and broadband connectivity for statistical units of departments related to 20 core statistics recommended by NSC.	10depts.
		(f)Development of modules for offline data entry for various statistical products generated by DES and its subsequent synchronization with server at DES, whenever connection is available.	10 modules.
2	Physical Infrastructure (PI)	(a)Strengthening & Refurbishing of DES HQ Building.	
		(b) Construction of DES Divisional Office buildings and its furnishing.	12
3	Other associated costs viz. Annual maintenance, Hardware upgrades, web-hosting etc. @ 30% of IT cost	(a)Hardware and software up gradation of Server at DES HQ and its annual maintenance	1
		(b) Renewal of licences of antivirus software for existing 250 computers	250 licenses
		(c) Hardware/software up gradation of existing computers DES HQ. and in field offices of DES .	100
4	Preparation of State Strategic Statistical Plan(SSSP) and signing of MOU by States with Govt. of India	(a)Signing of MOU with GOI	1
5	Implementation of recommendations of Technical Groups / Bodies for filling up existing and expected / emerging data gaps, including State/UT specific additionalities	(a) Conduct of Workshops/Meetings of Technical Groups/Bodies	15
		(b) Meetings on progress of statistical products with HOD related to 20 key statistics	4
		(c)Preparation of GSDP for rural and urban areas of U.P.	1
		(d)Preparation of public and private components of GFCF	1
		(e)Construction of composite index for measuring the backwardness of districts of the State.	1
		(f) Poverty and Social Monitoring Survey	1
		(g) Conduct of Employment and Un-Employment Survey on annual basis	1
		(h)Creation of SSSP cell to coordinate activities envisaged in MOU and organisation of periodical review meetings	34
6	HRD issues, with a focus on Training for Capacity Development and Skills Enhancement/ upgradation, including support to Regional Training Centres.	(a) Induction training of newly appointed statistical personnel of DES & line dept.	5 trainings
		(b) Refresher Training of existing statistical personnel of DES & line dept.	18 trainings
		(c)Specialised Training on statistical processes in reputed institutes of India	16 trainings
		(d)Training on data processing and use of statistical packages	15 trainings
		(e) Development of Training module and hiring of coordinator for training programmes	10 modules
		(f) Training on Sampling Techniques	9 trainings
		(g) Skill development of Youths in survey activities -	1000 youths
7	Introduction of Innovative Techniques and Methodologies for improving the efficacy of statistical processes and operations	(a) Estimation of sub-state level estimates by using small area estimation technique. (detail in annex-iii)	1
		(b) Mapping and Acreage Estimation of Horticultural Crops at Block Level Using Remote Sensing & GIS Techniques.	1

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Sl. No	Themes/ Items	Sub Components/ Activities	Targets
1	2	3	4
8	Holding of regular/periodic (say once every year) User-Producer dialogues, stake-holders' consultations and Conduct of periodic (say annual) surveys on user-satisfaction.	(a) Workshops/ seminars with stake holders/data users for review of existing statistical products and for identification new statistical products needed to be developed for future use.	10
		(b) Consultation and collaboration with Universities, Economics and Statistical Organisation of national & International repute on development of new statistical tools and their usage in improving reliability of existing data products.	16
		(c) Annual user satisfaction survey on statistical products produced by DES.	2
9	Dissemination of Annual Reports on the performance of State Statistical Systems and improving the cost effectiveness and ease of data access.	(a) Workshops/ seminars to disseminate results of NSS, ASI, GSDP etc.	6
		(b) Publication of Statistical books	9
		(c) Publication of annual journal on Economics & Statistics analytical studies	1
10	Data quality and efficiency improvement measures.	(a) Type studies for updation of rates/ratios used in GSDP	4
		(b) Compilation of an IOTT table for the State economy.	1
		(c) Benchmark Survey of Horticultural Crops	1
		(d) Annual Survey of MSME & unregistered manufacturing sector using frame of business register.	1
		(e) Index of Industrial Production (IIP) for Un-registered manufacturing sector	1
		(f) Development of comprehensive database of facilities and amenities available at / village level.	1
		(g) Development of ward wise comprehensive database at Nagar Nigam/Nagarpalika level.	1
		(h) Study on interstate trade	1
		(i) Compilation of Estimates of saving at state level	1
		(j) Estimation of number of Unauthorised Slaughter Houses and number of Animals Slaughtered therein.	1
		(k) Crop Yield Estimation of Emerging crops in UP	1
		(l) Estimation of Passengers carried out by Private Transport Carriers	1
		(m) Study to assess the intra-household variations in consumption, educational and economic attainments in different categories of households.	1
(n) Study on the plywood /khair industry to know the quantity of raw material consumed by it are sourced through U.P..forest. (It will help in planning of future plantation of Poplar/Khair Trees in U.P. forests.)	1		
(o) Establishment of library in DES-reference books, subscription to journals, periodicals & newspapers etc.	1		
11	Advocacy Issues viz. Publicity and IEC (Information, Education and Communication) to improve usage of Statistical Products & services	(a) Organisation of Workshops on importance of statistics in decision making for Decision Makers/Administrators /Opinion Maker/Political leadership/Media Personnel etc	8
		(b) Digitalisation of old statistical handbooks.	3
		(c) Sensitization Seminars	150
		(d) Advertisements	20
		(e) Other activities such as printing of publicity material, development of radio jingles etc	-
		(f) Organization of Statistics Day and facilitation of emerging young statistician of U.P.	1
12	Expenditure on other associated items/activities which are not foreseeable at the planning Stage Approx. 5% of Total Cost.		

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Summery

Annexure -II

Item – wise Allocation

(In Rs. Crore)

Sl. No	Themes/ Items	Total Cost		
		GOI	Govt. of the State/UT	Total
1	2	3	4	5
1	Information Technology (IT)	4.23	0.00	4.23
2	Physical Infrastructure (PI) (*)	4.86	1.6193	6.48
3	Other associated costs viz. Annual maintenance, Hardware upgrades, web-hosting etc. @ 30% of IT cost	0.71	0.00	0.71
4	Preparation of State Strategic Statistical Plan(SSSP) and signing of MOU by States with Govt. of India (#)	0.10	0.00	0.10
5	Implementation of recommendations of Technical Groups / Bodies for filling up existing and expected / emerging data gaps, including State/UT specific additionalities	3.73	0.00	3.73
6	HRD issues, with a focus on Training for Capacity Development and Skills Enhancement/ upgradation, including support to Regional Training Centres.	7.50	0.00	7.50
7	Introduction of Innovative Techniques and Methodologies for improving the efficacy of statistical processes and operations	0.68	0.00	0.68
8	Holding of regular/periodic (say once every year) User-Producer dialogues, stake-holders' consultations and Conduct of periodic (say annual) surveys on user-satisfaction.	1.61	0.00	1.61
9	Dissemination of Annual Reports on the performance of State Statistical Systems and improving the cost effectiveness and ease of data access.	0.75	0.00	0.75
10	Data quality and efficiency improvement measures.	12.90	0.00	12.90
11	Advocacy Issues viz. Publicity and IEC (Information, Education and Communication) to improve usage of Statistical Products & services	2.49	0.00	2.49
12	Expenditure on other associated items/activities which are not foreseeable at the planning Stage Approx. 5% of Total Cost.	4.30	0.00	4.30
	Total	43.76	1.6193	45.3793

already disbursed for preparation of SSSP.

* state share in civil infrastructure of Rs. 1.6193 core is mandatory.

Summed up

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Detailed Plan of Action of Activities & Processes

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment Output	2 nd Instalment Output
1	2	3	4	5
1	Information Technology (IT)	Laptops for DES HQ & its field offices [I7 processor, 4 th generation or latest , 1TB HDD, Windows 8.1 or latest, Microsoft office enterprise / professional edition, Quick heal antivirus s/w with 5 year warranty].	80 Laptops /desktops and its accessories will be procured (for DES HQ-30, for 18 divisional offices- 18 and for 25 district offices-32)	100 Laptops /desktops and its accessories will be procured (for 50 district offices-100)
		(b) Broadband connection for Divisional offices of DES	18 divisional offices will be provided Broadband connection	Recurring exp. for Broadband connection in 18 divisional offices.
		(c) LCD projectors for divisional offices	18 LCD projectors will be procured for 18 divisional offices.	-
		(d) Development of State Statistical Portal (a portal from where one get state level data on 20 core statistics identified by NSC. and its maintenance for 5 years.	Finalization of departments whose statistics are to be hosted, Selection of executing agency , system analysis and identification of hardware and software requirement, procurement of server and other related hardware and software.	Procurement of remaining hardware and software Development of Data entry modules of Identified departments, Test checking and operationalization of state statistical portal .
		(e) Networking and broadband connectivity for statistical units of departments related to 20 core statistics recommended by NSC.	The computers of statistical units of 4 departments will be networked and internet connectivity will be provided.	The computers of statistical units of 6 line departments will be networked and internet connectivity will be provided.
		(f) Development of modules for offline data entry for various statistical products generated by DES.(e.g CPI, WPI, Rural & Urban wages, Prices of 47 essential commodities, IIP, ASI, MPR of rural development, Sankhyakiya Diary, Sankhyakiya abstract etc.)	Identification of statistical product for which module has to be developed. Designing of activity chart, Finalization of executing agency, Development of data entry & report generation modules for 3 statistical products.	Development of data entry & report generation module for 7 statistical products.
2	Physical Infrastructure (PI)	(a) Furnishing & Refurbishing of DES Hq Building	New boring for assured water supply to DES Hq. Establishment of dedicated transformer for uninterrupted supply electricity to DES Hq.	Renovation of Toilets of DES. Reorganisation of rooms of officers and sections of DES hq, with help of aluminium partition. Whitewashing of DES HQ building. Establishment of RO plants on each of the 3 floors of DES HQ. Building for providing safe drinking water for DES personnel.
		(b) Construction and Furnishing of Divisional Offices at Basti, Lucknow , Saharanpur, Varanasi, Kanpur, Vindhyachal , Gorakhpur, Allahabad, Jhansi, Chitrakoot, Devipatan, Bareilly.	Construction of buildings of 4 Divisional offices of DES will be started.	Buildings of 10 Divisional offices of DES will be completed

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment Output	2 nd Instalment Output
1	2	3	4	5
3	Other associated costs viz. Annual maintenance, Hardware upgrades, web-hosting etc. @ 30% of IT cost	(a) Hardware and software up gradation of Server at DES Hq and its annual maintenance	Hardware and software up gradation of Server at DES Hq and its annual maintenance	Maintenance of DES server
		(b) Renewal of licences of antivirus software for existing 250 computers	Antivirus licences for existing 250 computers in DES will be renewed for one year.	Antivirus licences for existing 250 computers in DES will be renewed for one year.
		(c) Hardware/software up gradation of existing computers DES Hq. and in field offices of DES .	-	Up gradation of Hardware / Software of existing computers in DES Hq. and in its field offices. (100 in numbers)
4	Preparation of State Strategic Statistical Plan(SSSP) and signing of MOU by States with Govt. of India	(a) Signing of MOU	In process	-
5	Implementation of recommendations of Technical Groups / Bodies for filling up existing and expected / emerging data gaps, including State/UT specific additionalities	(a) Conduct of Workshops/Meetings of Technical Groups/Bodies	3 Technical groups for State income, Industrial statistics and Social Statistics will be formed to identify data gaps in these sectors and to recommend steps needed to fulfil these data gaps. 3 Workshops/Meetings will be held..	12 Workshops/Meetings will be held.
		(b) Meetings on progress of statistical products with HOD related to 20 key statistics	Meetings with HOD related to 20 key statistics will be organised to review the progress of statistical work and on bridging of data gaps	Meetings with HOD related to 20 key statistics will be organised to review the progress of statistical work and on bridging of data gaps
		(c) Preparation of GSDP for rural and urban areas of U.P.	1-At present, GSDP is prepared for state of U.P. as a whole with no distinction between rural and urban GSDP. CSO has provided methodology for preparation of GSDP of rural and urban sector for the base years of state income series. For the first time in U.P. attempt will be made for preparation of GSDP of rural and urban area. Fund is earmarked for organisation of workshop for identification of sources of data, honorarium for consultant, honorarium for 2 investigators and for office expenses.	1-Preparation of GSDP/NSDP/per capita income estimates by rural and urban areas. Fund is required for honorarium for hired personnel, expenditure on procurement of data from line departments and their reclassification in desired format, expenditure on other associated items.

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S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment	2 nd Instalment
			Output	Output
1	2	3	4	5
		(d)Preparation of public and private components of GFCF .	At present, Capital formation estimates are prepared at current and constant (2004-05) prices only for the public sector. For the first time in U.P. attempt will be made for preparation of public and private components of GFCF. Fund is required for organisation of workshop for identification of sources of data, honorarium for consultant, honorarium for 2 investigators and for office expenses.	Public and Private components of GFCF will be prepared. Fund is required for honorarium for 2 investigators and for office expenses.
		(e) Construction of composite index for measuring the backwardness of districts of the State.	-	1 workshop for development of methodology for construction of composite index will be organised, 2 personnel will be hired & trained and Composite Index for measuring the backwardness of districts of the state will be constructed. This may involve field level data collection on indicators for which district wise estimates are not available.
		(f) Poverty and Social Monitoring Survey(<i>To provide a quick statistical update on changes in poverty and living conditions and access to services between two data points.</i>)	To be carried out by DES. Includes finalisation of schedule. Printing of schedules, hiring of enumerators and data collection(40%). Regular inspection will be carried out to ensure data quality is satisfactory.	Data collection will be continued, development of data entry s/w, data entry will be outsourced, data processing and report writing.
		(g) Conduct of Employment and Un-Employment Survey on annual basis for estimation of district level estimates of Labour Force Participation Rate, Worker Population Ratio and Unemployment Rate. <i>(district level data on employment related parameters is an important input to take decisions for decentralized planning).</i>	To be carried out by DES. Includes finalisation of methodology /schedules, printing of schedules,	Hiring of enumerators and data collection. Regular inspection will be carried out to ensure data quality is satisfactory. Development of data entry s/w, data entry will be outsourced, data processing and report writing.

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S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise fo. targets of Annexure I	
			1 st Instalment	2 nd Instalment
			Output	Output
1	2	3	4	5
		(h)Creation of SSSP cell to coordinate activities envisaged in MOU and organisation of review meetings on working of SSS.	To coordinate activities of SSS a cell will be created in DES. A Consultant will be hired and 2 computer operator will also be outsourced to carry out day to day activities of the cell. Technical staff will be provided by DES. Review meetings on progress of SSS will be held every month with external agencies and Dy. Directors of DES by Director, DES. Every quarter PS(planning) will review progress of SSS. Steering Committee will review progress of SSS every six month. Expenditure on honorarium of consultant and computer operator as well as expenditure on office expenses such as-stationery, toner, Xerox ,POL etc. which are essential for smooth running of this cell will be met from fund earmarked for this activity.	To coordinate activities of SSS a cell will be created in DES. A Consultant will be hired and 2 computer operator will also be outsourced to carry out day to day activities of the cell. Technical staff will be provided by DES. Review meetings on progress of SSS will be held every month with external agencies and Dy. Directors of DES by Director, DES. Every quarter PS(planning) will review progress of SSS. Steering Committee will review progress of SSS every six month. Expenditure on honorarium of consultant and computer operator as well as expenditure on office expenses such as-stationery, toner, Xerox ,POL etc. which are essential for smooth running of this cell will be met from fund earmarked for this activity
6	HRD issues, with a focus on Training for Capacity Development and Skills Enhancement/ up gradation, including support to Regional Training Centres	(a)Induction training of newly appointed statistical personnel of DES & line dept.	3 induction trainings of 3 weeks each consisting of a batch of 30 statistical personnel of DES & line departments will be organized.	2 induction trainings of 3 weeks each consisting of a batch of 30 statistical personnel of DES & line departments will be organized.
		(b)Refresher Training of existing statistical personnel of DES & line dept.	6 refresher trainings of one week each consisting of a batch of 20 existing statistical personnel of DES will be organized.	12 refresher trainings of one week each consisting of a batch of 20 existing statistical personnel of DES will be organized.
		c)Specialised Training on statistical processes in reputed institutes of India	5 specialised trainings on various statistical methods, Economics topics, management topics etc of one week duration, consisting of a batch of 20 personnel of DES will be organised in reputed training institutes such as IASRI,ASCI,NIRD,IIM,IIFM,NIFM,ISECetc	11 specialised trainings on various statistical methods, Economics topics, management topics etc of one week duration, consisting of a batch of 20 personnel of DES will be organised in reputed training institutes.

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S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise of Annexure I	
			1 st Instalment	2 nd Instalment
			Output	Output
1	2	3	4	5
		(d) Training on data processing and in use of statistical software packages	3 trainings programmes will be organised on NSS /ASI data processing and 3 trainings will be organised on use of statistical packages like STATA, SPSS, EViews, R etc.	4 trainings programmes will be organised on NSS /ASI data processing and 5 trainings will be organised on use of statistical packages like STATA, SPSS, EViews, R etc.
		(e) Development of Training module and hiring of coordinator for training programmes	Hiring of Training Coordinator or hiring of a firm for designing of the training module for the field officers and for Assisting in the Effective Implementation of the entire training programme. At least 10 modules will be developed	Hiring of Training Coordinator or hiring of a firm for Assisting in the Effective Implementation of the entire training programme
		(f) Training on sample survey techniques	3 trainings programmes will be organised	6 trainings programmes will be organised
		(g) Skill Development of Youths in survey activities	Skill for survey works will be developed in 250 youths.	Skill for survey works will be developed in 750 youths.
7	Introduction of Innovative Techniques and Methodologies for improving the efficacy of statistical processes and operations	(a) Estimation of sub-state level estimates of socio economic indicators by using small area estimation techniques.	Organisation of seminar for identification of sub state level socio-economic indicators required to be estimated, hiring up of consultants, procurement of data etc , will be done in first phase.	Sub state level identified socio economic indicators will be estimated and report will be submitted to DES.
		(b) Mapping and Acreage Estimation of Horticultural Crops at Block Level Using Remote Sensing & GIS Techniques.	Preparatory work such as identification of horticultural crops, purchase of satellite maps will be completed with this instalment.	The work of mapping and acreage estimation of Horticultural Crops will be completed and report will be submitted to DES. This work will be done in collaboration with Remote Sensing Application Centre, U.P.
8	Holding of regular/periodic (say once every year) User-Producer dialogues, stake-holders' consultations and Conduct of periodic (say annual) surveys on user-satisfaction.	(a) Workshops/ seminars with stake holders/data users for review of existing statistical products and for identification new statistical products needed to be developed for future use.	(a) 4 workshops/seminars on agriculture and allied sector, Industry sector, education sector & health sector will be organised.	6 workshops /seminars on finance & banking sector, women & child welfare sector, Urban development sector, Environment Sector, Infrastructure sector and social welfare sector will be organised.

2

S. N. Singh

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise-for targets of Annexure I	
			1 st Instalment	2 nd Instalment
			Output	Output
1	2	3	4	5
		(b) Consultation and collaboration with Universities, Economics and statistical Organisation of national & International repute on development of new statistical tools and their usage in improving reliability of existing data products	In order to improve the reliability of existing data products, DES will sponsor state universities or statistical organisation to carry out research work in collaboration of DES and develop new statistical tools so that reliability of existing data set is improved. Universities will be asked to suggest topics on which they want to bring out research studies and DES will decide on the topics to be sponsored. 6 such research studies will be sponsored by DES.	10 research studies will be sponsored by DES.
		(c) Annual User Satisfaction Survey	In order to have knowledge of acceptability of current statistical products brought out by DES a bench mark survey with the help of questionnaire will be conducted. This work will be carried out by a third party and report will be submitted to DES.	This survey will be conducted after release of 2 nd instalment and will assess the improvement in situations after implementation of SSS.
9	Dissemination of Annual Reports on the performance of State Statistical Systems and improving the cost effectiveness and ease of data access.	(a) Workshops/ seminars to disseminate results of NSS, ASI, GSDP etc.	3 workshops/seminars to disseminate results of NSS, ASI & GSDP will be organised.	3 workshops/seminars to disseminate results of NSS, ASI & GSDP will be organised.
		(b) Publication of Statistical books	Handbook on Gender statistics, Infrastructure statistics, Price Statistics, will be produced.	Handbook on Health statistics, Environment Statistics, Transport Statistics, Housing statistics, Industrial statistics and Welfare Statistics will be produced.
		(c) Publication of annual journal on Economics & Statistics analytical studies		1 annual journal on Economics & Statistics analytical studies will be produced. This journal will be edited by eminent statistician and will include technical papers on official statistics and other economic and statistical fields. Editor and contributors will be given remuneration for their work.

2

S. Kumar

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment	2 nd Instalment
			Output	Output
1	2	3	4	5
10	Data quality and efficiency improvement measures.	(a)Type studies for updation of rates/ratios used in GSDP in collaboration with external agencies.	Preparatory work such as floating of EOI, selection of external agency, finalisation of methodology, etc will be stated for conducting type studies on (a) Study on private water supply and (b) Study on private road transport (c) Study on contribution from private hotels and restaurants to SDP (d) Study on estimation on rent on dwellings- Rural and Urban.	All 4 type studies , work on which was stated from Ist instalment will be completed and report will be submitted to DES.
		(b)Compilation of an IOTT for the State economy in collaboration with external agencies.	Preparatory work such as floating of EOI, selection of external agency, signing of MOU will be started in this phase.	Construction of IOTT will be completed and report will be submitted to DES.
		(c)Benchmark survey of Horticultural Crops.(To make an authentic area and production estimation of horticultural crops of U.P.(kharif, Rabi and Ziad seasons).	This activity will be carried out with the help from Horticulture Department. Data will be collected from around 25000 village using the questionnaire. Standard statistical methodology of crop cutting experiments will be used.A surveyor will be deployed for collection of data from these villages. The process will be repeated for the three cropping seasons. Area and production data will be collected for 16 fruit crops,20 vegetable crops & 5 flowers speciesIn first installement finalisation of schedule. Printing of schedules, hiring of enumerators and data collection(20%). Regular inspection will be carried out to ensure data quality is satisfactory.	Data collection will be continued, development of data entry s/w, data entry will be outsourced, data processing and report writing.
		(d)Annual Survey of MSME & unregistered manufacturing sector using frame of business register.	This activity is being under taken for first time and will be carried out by DES with help of outsourced enumerators. It includes recruitment of consultant who will suggest methodology for selection of units, designing of schedules expenditure on data collection, data entry, data processing, and tabulation work will be completed.	Report writing and publication of book will be completed.

See

Sumant Singh

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment	2 nd Instalment
1	2	3	4	5
		(e)Index of Industrial Production (IIP) for Un-registered manufacturing sector.	This activity is being under taken for first time and will be carried out by DES with help of outsourced enumerators. It includes recruitment of consultant who will suggest methodology for selection of units, determination of sample size, designing of schedules, data processing methods etc	Hiring of enumerators for data collection, expenditure on data entry, data processing and report generation.3 quarterly report of IIP for unregistered manufacturing sector will be computed and published.
		(f)Development of comprehensive database of facilities and amenities available at village level.	Data on availability of various facilities and amenities at village level will be collected from 97941 revenue villages in U.P. with the help of village level worker who will be given honorarium to carry out this work. Data entry, data processing, tabulation work will be outsourced and will be completed.	Report writing and publication of book will be completed.
		(g)Development of ward wise comprehensive database at Nagar Nigam / Nagarpalika level.	Data on ward wise availability of various facilities and amenities at Nagar Nigam / Nagarpalika level .will be collected, with the help of outsourced worker who will be given honorarium to carry out this work. Preparatory work such as, finalisation of schedule, ,Printing of schedule will be completed	Data collection, data entry, data processing, tabulation, Report writing and publication of book will be completed.
		(h)Study on interstate trade	Study on interstate trade will be conducted in collaboration with universities and research institutes. This includes selection of university/research institutes, finalisation of methodology, data collection, data processing data analysis and submission of report to DES,UP.	
		(i) Compilation of Estimates of saving at state level	Compilation of Estimates of Saving at state level will be conducted in collaboration with universities and research institutes. This includes selection of university/research institutes, finalisation of methodology, data collection, data processing data analysis and submission of report to DES,UP.	
		(j)Estimation of number of Unauthorised Slaughter Houses and number of Animals Slaughtered therein.	Preparatory work such as floating of EOI, selection of external agency for collaboration with DES, finalisation of methodology will be carried out from this instalment	After Data collection, Data processing work is finished, Report will be submitted to Des.

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Sumant

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment	2 nd Instalment
1	2	3	4	5
		(k)Crop Yield Estimation of Emerging crops in UP	Preparatory work such as floating of EOI, selection of external agency for collaboration with DES, finalisation of methodology will be carried out from this instalment	After Data collection, Data processing work is finished , Report will be submitted to Des.
		(l)Estimation of Passengers carried out by Private Transport Carriers	Preparatory work such as floating of EOI, selection of external agency for collaboration with DES, finalisation of methodology will be carried out from this instalment	After Data collection, Data processing work is finished, Report will be submitted to DES.
		(m)Study to assess the intra-household variations in consumption, educational and economic attainments in different categories of households.	-	Universities or research institutes will be engaged to carry out this study.
		(n)Study on the plywood /khair industry to know the quantity of raw material consumed by it are sourced through U.P..forest.(It will help in planning of future plantation of Poplar/Khair Trees in U.P. forests.)	-	Forest Department/Universities or research institutes will be engaged to carry out this study.
		(o)Establishment of library in DES-reference books, subscription to journals ,periodicals & newspapers etc.	Books, journals, periodicals, newspapers, online databases will be purchased.	Books, journals, periodicals, newspapers, online databases will be purchased. Old documents/statistical handbooks will be digitised
11	Advocacy Issues viz. Publicity and IEC (Information, Education and Communication) to improve usage of Statistical Products & services	(a)Organisation of Workshops on importance of statistics in decision making for Decision Makers/Administrators /Opinion Maker/Political leadership/Media Personnel etc	3 workshops will be organised.	5 workshops will be organised.
		(b) Digitalisation of old statistical handbooks.	Digitalisation of Old documents/statistical handbooks available in the form of books for its wider usage in decision making and making public aware of existence of such data. In the first phase Statistical Diary, published by DES will be digitalised.	Statistical Abstract & District wise Development Indicators published by DES will be digitalised.
		(c) Sensitization Seminars	75 (1 in each district and 1 at Hq.level) sensitization seminars (to make public aware of role of statistics in socio-economic policy making) will be conducted in colleges and universities.	75 (1 in each district and 1 at Hq.level) sensitization seminars (to make public aware of role of statistics in socio-economic policy making) will be conducted in colleges and universities.

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Sumit

S I. No of EFC items	Theme/ Items	Sub-Components /Activities	Details of Expected Outputs /progress of each items/activity instalment wise for targets of Annexure I	
			1 st Instalment	2 nd Instalment
1	2	3	4	5
		(d) Advertisements	Advertisements in newspapers, magazines etc.	Advertisements in newspapers, magazines etc.
		(e) Other activities	Other activities such as printing of publicity material, development of radio jingles ,purchase of display boards etc	Other activities such as printing of publicity material, development of radio jingles. Purchase of display boards etc
		(f) Organization of Statistics Day and facilitation of emerging young statistician of U.P.	-	Statistics day will be celebrated on 30 th June and emerging young statistician will be facilitated.
12	Expenditure on other associated items/activities which are not foreseeable at the planning Stage Approx. 5% of Total Cost.	Associated items/activities which are not foreseeable at the planning Stage	This amount will be utilized for unforeseeable items/activities which cannot be assessed at this stage and which may arise during the implementation stage.	This amount will be utilized for unforeseeable items/activities which cannot be assessed at this stage and which may arise during the implementation stage.

2

Sumant

Expected Outcomes/ Statistical Products envisaged by March, 2017 and Plan/Process for achieving them

Sl.NO	Outcome/ Statistical Products	Activities envisaged through this installment	
		I Installment	II Installment
1	Study on interstate trade- (Collection of data on value of products of primary sector/secondary sector imported to U.P. and exported from U.P. This data will be utilised in computation of GSDP.)	DES would collaborate with institutes and Finalisation of methodology along with Data collection, processing analysis and submission of report.	-
2	Estimates of savings at state level	DES would collaborate with institutes and Finalisation of methodology along with Data collection, processing analysis and submission of report.	-
3	Study on Number of Unauthorised Slaughter House and Number of Animals Slaughtered therein.(for updation of ratios of animals slaughtered at these places. These ratios are used in compilation of GSDP estimates)	DES would collaborate with institutes and Finalisation of methodology	Data collection, Data processing, Data analysis and Report submission will be done
4	Estimation of Crop Yield of emerging crops such as mushroom, flowers etc. in UP (Data on crop yields of emerging crops will be estimated for improving the coverage of GSDP.)	Collaborate with institutes and Finalisation of methodology	Data collection, Data processing, Data analysis and submission of Report.
5	Estimation of Passengers carried out by Private Transport Carriers. (for improving the coverage of GSDP.)	Identification of external institute to collaborate for carrying out this activity and the Methodology would be Finalized	Data collection, Data processing, Data analysis and Report submission will be done
6	Study to assess the intra-household variations in consumption, educational and economic attainments in different categories of households.	-	Finalisation of institute for carrying out study to procure, process and analyse of data by institute and submission of report.
7	Study on the plywood /khair industry to know the quantity of raw material consumed by it are sourced through U.P..forest.	-	Forest Department/Research Institutes will be selected to carry out this study and subsequently the methodology will be Finalized. Forest Department/Selected institute will procure, process and analyse data and submit the report to the DES, UP
8	Preparation of GSDP/NSDP/per capita income estimates by rural and urban areas, for the base years of state income series .	Workshop will be organised to finalise methodology and availability of data. For providing investigators an agency will be outsourced. Exclusive Training will be given to such investigators for procuring data	These investigators would procure data that would be processed, analysed and a report will be submitted.
9	Construction of Composite Index for measuring the backwardness of districts of the State.	-	DES would finalize the methodology for this activity and the Data would be collected, processed, analysed and report will be submitted
10	Estimation of sub-state level estimates of socio economic indicators by using small area estimation techniques. (For better decision making in policy formulation)	Workshop will be organised for identification of sub state level socio-economic indicators required to be estimated followed by hiring of consultants and Procurement of data	Hiring of statistical personnel having experience will be done who have expertise in use of statistical packages. The data will be processed and estimation of sub-state level indicators will be done and finally the report will be submitted
11	Mapping and Acreage Estimation of Horticultural Crops at Block Level Using Remote Sensing & GIS Techniques(For improved and reliable data on yields of horticultural crops for their inclusion in GSDP compilation)	This will be taken up in collaboration with Remote Centre Application Centre,U.P. High resolution satellite data will be purchased and digital analysis will be done	Acreage estimation will be done along with Accuracy Estimation and the report will be finally submitted.

2

Suman

Sl.NO	Outcome/ Statistical Products	Activities envisaged through this installment	
		I st Instalment	II nd Instalment
12	Study on private water supply (for plugging of data gap in contribution of water supply in compilation of GSDP estimates)	Finalisation of Methodology in consultation with leading institute in this area.	Data collection, Data processing, Data analysis and Report submission will be done
13	Study on private road transport (for plugging of data gap in contribution of transport sector in GSDP)	Identification of leading institute to collaborate with DES for providing expertise in this field and the Methodology will be finalized	Data collection, Data processing, Data analysis and Report submission will be done
14	Study on contribution from private hotels and restaurants to SDP (For inclusion of contribution of this sector in compilation of GSDP)	Identification of leading institute to collaborate with DES for providing expertise in this field and the Methodology will be finalized	Data collection, Data processing, Data analysis and Report submission will be done
15	Study on estimation on rent on dwellings-Rural and Urban (For improvement in GSDP estimates)	Identification of leading institute to collaborate with DES for providing expertise in this field and the Methodology will be finalized	Data collection, Data processing, Data analysis and Report submission will be done
16	Compilation of an IOTT for the State economy.(For understanding the output and input structures of industries as also the final uses of outputs)	Identification of leading institute to collaborate with DES for providing expertise in this field and the Methodology will be finalized	IOTT will be compiled and a report will be submitted.
17	(c) Benchmark survey of Horticultural Crops.(first time attempt is being to obtain an authentic data on area and production of horticultural crops)	To be carried out by DES in collaboration with Horticulture department and Revenue department.. Activities of. printing of schedules, hiring of enumerators and data collection (20%) from Ist instalment.. Regular inspection will be carried out to ensure data quality is satisfactory.	Data collection will be continued, development of data entry s/w, data entry will be outsourced, data processing and report writing.
18	Annual Survey of MSME & unregistered manufacturing sector using frame of business register.	Consultant will be engaged and the methodology will be finalized for Data collection. Data entry work will be completed	Data will be processed and a table would be generated and accordingly a report will be prepared and the work completed
19	Index of Industrial Production (IIP) for Un-registered manufacturing sector.		Consultant will be engaged and the methodology will be finalized for selection of units. The sample size will be determined and the schedule will be designed. Data collection, Data entry Data processing, and 3 quarterly reports will be generated
20	Development of comprehensive database of facilities and amenities available at village level (New database will develop according to current need and will act as benchmark for future references).	Collection of data through hired Investigators, scrutinized and processing of data.	Generation of tables and preparation of report.
21	Development of ward wise comprehensive database at Nagar Nigam / Nagarpalikalevel (first time attempt is made to develop such database for UP. It will help in better policy formulation)	Finalisation and printing of schedule.	Collection, scrutinize and processing of data and submission of report.
22	Preparation of public and private components of GFCF (It will help in improving GSDP estimates. First time attempt is made to construct it.)	To be carried out by DES by 1-Organisation of workshop for finalisation of methodology and identification of sources of data	1-preparation of report

2

Sumanth

Sl.NO	Outcome/ Statistical Products	Activities envisaged through this installment	
		I st Instalment	II nd Instalment
23	Poverty and Social Monitoring Survey(<i>To assess the outcome of various poverty alleviation, health and education development schemes being implemented in state</i>)	Finalisation and printing of schedule and around 40% data will be collected.	Rest of the data of 60% collection, copies of data through software and generation of report.
24	Conduct of Employment and Un-Employment Survey on annual basis (<i>by taking a representative sample in all the districts for estimating workforce at four digit level and value added per worker</i>).	To be carried out by DES by Finalising of schedule. These schedules will be printed and will be provided to the enumerators who will hired and will be trained	Data collection will be done and software for data entry will be developed. Data entry will be outsourced and finally the data will be processed and a report will be submitted.

2

Summit